

11/28/00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**UTILITY APPLICATION AND FEE TRANSMITTAL (1.53(b))**

COMMISSIONER FOR PATENTS  
**BOX PATENT APPLICATION**  
 Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s) names and addresses:

- 1) Shu-Chun Jeane Chen  
10907 Derry Down Way  
San Diego, CA 92130 USA
- 2) James S. Lipscomb  
1646 Paine Street  
Yorktown Heights, NY 10598 USA
- 3) Lurng-Kuo Liu  
125 Lake Street, #12AN  
White Plains, NY 10604 USA
- 4) Jai Menon  
1041 Abingdon Lane  
Alpharetta, GA 30022
- 5) Liang-Jie Zhang  
14 Westview Avenue  
White Plains, NY 10603 USA

Additional inventors are listed on a separate sheet

For: **AN ENHANCED TV BROADCASTING METHOD AND SYSTEM USING TAGS FOR INCORPORATING LOCAL CONTENT INTO A PROGRAM DATA STREAM**

Enclosed Are:

- 18 page(s) of specification
  - 1 page(s) of Abstract
  - 8 page(s) of claims (31 total, 7 Indp.)
  - 6 sheets of  Formal  Informal drawings (Figs. 1-6)
  - 3 page(s) of Declaration and Power of Attorney
- Unsigned  
 Newly Executed  
 Copy from prior application  
 Deletion of inventors including Signed Statement under 37 C.F.R. §1.63(d)(2)

JC685 U.S. PTO  
**09/725009**  
 11/28/00

**Incorporation by Reference:**

— The entire disclosure of the prior application, from which a copy of the combined Declaration and Power of Attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.

- Microfiche Computer Program (Appendix)
- page(s) of Sequence Listing
- computer readable disk containing Sequence Listing
- Statement under 37 C.F.R. §1.821(f) that computer and paper copies of the Sequence Listing are the same

**X Assignment Papers (assignment cover sheet and assignment documents)**

- A check in the amount of \$40.00 for recording the Assignment
- Charge the Assignment Recordation Fee to IBM Deposit Account No. 09-0459, Order No. SOM9-2000-0008/1963-7390.
- Assignment Papers filed in the parent application Serial No. \_\_\_\_\_.
- X** Are not enclosed at this time.

 **Certification of chain of title pursuant to 37 C.F.R. §3.73(b)**

— Priority is claimed under 35 U.S.C. §119 for:  
Application No(s). \_\_\_\_\_, filed \_\_\_\_\_, in \_\_\_\_\_.

- Certified Copy of Priority Document(s)
  - filed herewith
  - filed in application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.
- English translation document(s) [ \_\_\_\_\_ ]
  - filed herewith
  - filed in application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.

Priority is claimed under 35 U.S.C. §119(e) for:  
Provisional Application No. \_\_\_\_\_, filed \_\_\_\_\_.

Priority is claimed under 35 U.S.C. §120 for:  
Application No(s). \_\_\_\_\_, filed \_\_\_\_\_ in USA.

**— Information Disclosure Statement**

- Copy of [ \_\_\_\_\_ ] cited references  
PTO Form-1449
- References cited in parent application Serial No. \_\_\_\_\_.

 **Preliminary Amendment****X Return receipt postcard (MPEP 503)**

This is a  continuation  divisional  continuation-in-part of prior application serial no. \_\_\_\_\_, filed \_\_\_\_\_.

— Cancel in this application original claims \_\_\_\_\_ of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

— A Preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application).

- The status of the parent application is as follows:
  - A Petition for Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until \_\_\_\_.
  - A copy of the Petition for Extension of Time in the co-pending parent application is attached.
  - No Petition for Extension of Time and Fee therefor are necessary in the co-pending parent application.
- Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.
- Transfer the drawing(s) from the parent application to this application
- Amend the specification by inserting before the first line the sentence:  
This is a continuation of co-pending application serial no. \_\_\_\_\_, filed \_\_\_\_\_.

I. CALCULATION OF APPLICATION FEE							
	Number Filed	Number Extra	Rate	Basic Fee \$710.00/355.00			
Total Claims	31	11	\$18.00/\$9.00	\$198.00			
Independent Claims	7	4	\$80.00/\$40.00	\$320.00			
<input type="checkbox"/> Multiple Dependent Claims	If marked, add fee of \$270.00 (\$135.00)			\$			
Reduced fees under 37 C.F.R. §1.9 (f) paid herewith (1/2)							
				<b>TOTAL:</b> \$1,228.00			

— A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. §1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. §1.9 (f) paid herewith.

- A check in the amount of \$ \_\_\_\_\_ in payment of the application filing fees is attached.
- Charge fee of \$1,228.00 (11 additional total claims = \$198.00 + 4 additional indep. claims= \$320.00 + filing fee of \$710.00) to IBM Deposit Account No. 09-0459, Order No. SOM9-2000-0008/1963-7390. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

**X** The Commissioner is hereby authorized to charge any additional fees which may be required for filing this application pursuant to 37 CFR §1.16, including all extension of time fees pursuant to 37 C.F.R. § 1.17 for maintaining copendency with the parent application, or credit any overpayment to IBM Deposit Account No. 09-0459, Order No. SOM9-2000-0008/1963-7390  
A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Dated: November 28, 2000

By:

Joseph C. Redmond

Joseph C. Redmond, Jr.  
Registration No. 18,753  
(202) 857-7887 Telephone  
(202) 857-7929 Facsimile

**CORRESPONDENCE ADDRESS:**

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, NY 10154